

Attorney Docket No.: MTEC-007/00US
Client Reference No.: 0124.00US

PATENT

Express Mail Label Number: **EV 526725685 US**
Date of Deposit: **December 1, 2004**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of THEKKATH

Serial No.: 09/844,271 Examiner: Kendall, Chuck

Confirmation No.: 1047 Art Unit: 2122

Filed: April 30, 2001

For: **USER CONTROLLED TRACE RECORDS**

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - a statement as specified in 37 C.F.R. §1.97(e).
- In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- Check No. __ in the amount of \$__ for the total fee is attached.
- A return receipt postcard is also enclosed.
- Please charge \$__ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

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Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: **December 1, 2004**

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By:


Edward Van Gieson
Reg. No. 44,386



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
 UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
 Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
 §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document **F1-F2**, and **C1** cited in the attached Form PTO/SB/08 are enclosed.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. __ to which the above-identified application claims priority under 35 U.S.C. §120.
- No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98.
- Publication(s) __ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to __ application serial no. __ and mailed on __.

- Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- Enclosed is a copy of a non-English publication(s) ___. English language publication ___. (copy enclosed) claims priority from this non-English publication.
- Enclosed is an explanation of non-English publication(s) ___. for which an English translation is not available.
- Enclosed is an English translation of non-English publication(s) ___. cited in the attached Form PTO/SB/08A.
- Enclosed is a copy of pending patent Application Serial No. ____.

This Information Disclosure Statement is filed within any one of the following time periods:

- within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- before the mailing date of a first office action on the merits; or
- before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

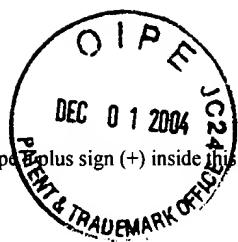
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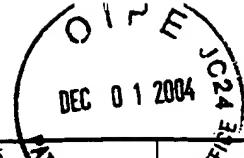
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
<i>(use as many sheets as necessary)</i>					
Sheet	1	of	2	Attorney Docket Number	MTEC-007/00US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
P1	3,473,154			Couleur et al.	10/14/1969
P2	3,585,599			Hitt	06/15/1971
P3	3,681,534			Burian et al.	08/01/1972
P4	3,702,989			Provenzano, Jr. et al.	11/14/1972
P5	3,704,363			Salmassy et al.	11/28/1972
P6	3,707,725			Dellheim	12/26/1972
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P8	3,794,831			Frankeny et al.	02/26/1974
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P10	3,906,454			Martin	09/16/1975
P11	4,205,370			Hirtle	05/27/1980
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P13	4,423,508			Shiozaki et al.	12/27/1983
P14	4,462,077			York	07/24/1984
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P43	5,946,486			Pekowski	08/31/1999
P44	6,009,270			Mann	12/28/1999

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.



P45	6,012,085	Yohe et al.	01/04/2000
P46	6,106,573	Mahalingaiah et al.	08/22/2000
P47	6,282,701	Wygodny et al.	08/28/2001
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P59	2002/0046393	Leino et al.	04/18/2002
P60	2002/0147965	Swaine et al.	10/10/2002

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁴
		Office ¹	Number ²	Kind Code ³ (if known)			
	F1	GB	2 329 048		ARM Limited	10/03/1999	
	F2	GB	2 329 049		ARM Limited	10/03/1999	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

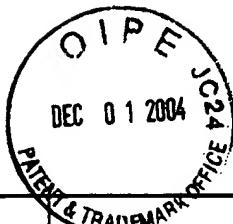
¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-00)

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/844,271
				Filing Date	April 30, 2001
				First Named Inventor	THEKKATH
				Group Art Unit	2122
				Examiner Name	Kendall, Chuck
Sheet	1	of	1	Attorney Docket Number	MTEC-007/00US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	1	Embedded Trace Macrocell Specification, Rev. 0/0a, ARM IHI 0014C, ARM Ltd. (1999)		

Examiner Signature		Date Considered	
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